Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

A substitute specification.

17. X

18

19. L

A power of attorney and/or change of address letter.

ATTORNEY'S DOCKET NUMBER DJKIM.GENO.PT2

see 37 CFR 1.5)

**CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/KR2005/000454 February 18, 2005 February 26, 2004 TITLE OF INVENTION POLYPEPTIDE HAVING FUNCTION OF CINNAMYL ALCOHOL DEHYDROGENASE, POLYNUCLEOTIDE ENCODING THE POLYPEPTIDE AND THOSE USES APPLICANT(S) FOR DO/EO/US LEE, Dong-hee; KIM, Tae-hoon; HWANG, In-taek; CHO, Kwang-yun; CHOI, Jung-sup; LEE, Kwan-hwi; KIM, Bum-tae Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. X is attached hereto (required only if not communicated by the International Bureau). b. X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. L A preliminary amendment. 14 X An Application Data Sheet under 37 CFR 1.76.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

# IAP9 Rec'd PCT/PTO 2 5 AUG 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICA	90% (88	37 CFR 1.5)	INTERNATIONAL AI PCT/KR2005	INTERNATIONAL APPLICATION NO. PCT/KR2005/000454		ATTORNEY'S DOCKET NUMBER DJKIM.GENO.PT2		
	items or informati		of the International	Search Repo	t			
The following	lowing fees have b	een submitted		_	CALCUL	ATIONS	PTO USE ONLY	
21. X Basic national fee (37 CFR 1.492(a))						0.00	T	
22. X Examination fee (37 CFR 1.492(c))						·· <u>··</u>	+	
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)						0.00		
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 50	0.00		
└─ sequenc		and drawings file ince with 37 CFR	d in paper over 100 sheets (e 1.821(c) or (e) or computer p					
		50 for each additional 50 sheets of paper or fraction thereof.						
- 100 =	/50 =			× \$250	\$		ı	
Surcharge of \$13 after the date of	30.00 for furnishing commencement of	any of the searce the national stag	th fee, examination fee, or the ge (37 CFR 1.492(h)).	oath or declaration	\$			
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$			
Total claims		- 20 =		× \$ 50	\$			
Independent clai	ms	- 3 =		× \$200	\$			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$			
TOTAL OF ABOVE CALCULATIONS =								
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					\$ 50	20.00		
SUBTOTAL =  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest						00.00		
claimed priority date (37 CFR 1.492(i)).								
TOTAL NATIONAL FEE =					\$ 50	00.00		
			1.21(h)). The assignment mu \$40.00 per property	st be accompanied +	\$			
TOTAL FEES ENCLOSED =						00.00		
					Amount to refunded:		\$	
					Amount to charged	be	\$	

APP RECOPTION 2.5 AUG 2006 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a. X A check in the amount of \$ 500.00 to cover the above	re fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ A duplicate copy of this sheet is enclosed.	to cover the above fees.						
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501037. A duplicate copy of this sheet is enclosed.							
Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
• ,							
SEND ALL CORRESPONDENCE TO:	Total						
Intellectual Property Law Group LLP	SIGNATURE						
12 South First Street, Suite 1205	Juneko Jackson NAME						
San Jose, CA 95113	48.870						
	REGISTRATION NUMBER						
	·						

1

SAN DIEGO Emerald Plaza Center 402 West Broadway, Suite 400 San Diego, California 92101

SEATTLE Two Union Square Center 601 Union Street, 42nd Floor Seattle, Washington 98101



## 10/590886 INTELLAÇIA HELDOT/PTO 25 AUG 2006

LAW GROUP LLP

• PATENT • TRADEMARK • COPYRIGHT •

2600 Mission Street Suite 100

San Marino, California 91108

Old Bank of America Building 12 South First Street, 12<sup>th</sup> Floor San Jose, California 95113

TEL: 408.286.8933 FAX: 408.286.8932 URL: www.iplg.com 601 Pennsylvania Avenue Center South Building Suite 900 Washington, D.C. 20004

WASHINGTON D.C.

August 25, 2006

VIA EXPRESS MAIL EV331542367US

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

SUBMISSION TO ENTER THE NATIONAL STAGE UNDER 35 U.S.C. 371

Title:

"POLYPEPTIDE HAVING FUNCTION OF CINNAMYL ALCOHOL

DEHYDROGENASE, POLYNUCLEOTIDE ENCODING THE POLYPEPTIDE

AND THOSE USES"

Applicants:

Genomine, Inc. and Korea Research Institute of Chemical Technology

Inventors:

LEE, Dong-hee; KIM, Tae-hoon; HWANG, In-taek; CHO, Kwang-yun; CHOI,

Jung-sup; LEE, Kwan-hwi; KIM, Bum-tae

Int'l Filing No.:

PCT/KR05/000454 February 18, 2005

Int'l Filing Date:

February 26, 2004

Priority Date: Customer No.:

24943

Attorney Docket No.:

DJKIM.GENO.PT2

#### Dear Sir:

Please find enclosed the following:

- 1) a transmittal letter to the DO/EO/US concerning a filing under 35 U.S.C. 371 (Form PT0-1390) (3 pages);
- 2) an application data sheet (6 pages);
- 3) a specification of 30 pages: a written description (21 pages), a set of claims (2 pages), an abstract (1 page); and a set of drawings (6 pages);
- 4) a paper copy of the written sequence listing (4 pages);
- 5) a computer readable form of the sequence listing on compact disc;
- 6) a signed joint declaration/power of attorney by the inventor (6 pages);
- 7) a statement under 37 C.F.R. 3.73(b), power of attorney by assignee, and copies of the assignments (11 pages);
- 8) an Information Disclosure Statement (2 pages) and Form PTO-1449 (1 page) with a copy of reference cited;
- 9) a check for \$500.00 to cover the small entity national filing fee; and
- 10) a return receipt postcard.

37 CFR 1.821(f) -I hereby state the information recorded in computer readable form is identical to the written sequence listing.

The commissioner is hereby authorized to charge any underpayment or credit any overpayment to Deposit Account Number 501037. If you should have any questions, please contact us.

Sincerely,

INTELLECTUAL PROPERTY LAW GROUP LLP

Juneko Jackson (Reg. No.: 48,870)

Enclosures

#### **CERTIFICATE OF EXPRESS MAIL**

"EXPRESS MAIL" mailing label number: EV 331542367 US Date of Deposit: August 25, 2006

I hereby certify that the above-identified correspondence, which is attached, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1710 of the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22113-1450.

Name of Person Mailing Paper or Fee

Signature